

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

22388 U.S.PTO  
10/675828



In re Application of: Atty Docket: POU920030132US1  
John K. ALEX et al. APPLICATIONS BRANCH  
Serial No. (not yet assigned)  
Filed: HEREWITH

For: **POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS**

**CERTIFICATE OF EXPRESS MAIL MAILING**

"Express Mail" Mailing Label No. **EV343426394US**  
Date of Deposit: **September 30, 2003**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

I hereby certify that

- Application Transmittal
- Fee Transmittal Form
- Specification, Claims, Abstract
- 1 set of 11 sheets of drawings
- Declaration and Power of Attorney
- Information Disclosure Statement and Form PTO-1449
- Assignment Recordation Sheet with Assignment
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

MS Patent Application  
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Date of Deposit

Karen Taragowski  
Name of person mailing papers

Karen Taragowski  
Signature

**UTILITY PATENT APPLICATION TRANSMITTAL**

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

**INVENTOR(S):** John K. ALEX, Peter R. BADOVINATZ, Reinhard BUENDGEN, Chun-Shi CHANG,  
Gregory D. LAIB, Rong-Sheng LEE, Jeffrey S. LUCASH, Thomas LUMPP, and  
Juergen SCHNEIDER

**TITLE:** POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS

**ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76**

**ASSIGNEE NAME:** INTERNATIONAL BUSINESS MACHINES CORPORATION

**ASSIGNEE RESIDENCE:** ARMONK, NEW YORK

In connection with this application, the following are enclosed:

- XX Fee Transmittal Form  
50 Pages of Specification, Claims and Abstract  
24 Claims  
11 Sheets of Drawings (FIGS. 1-14)  
XX Declaration and Power of Attorney  
XX Information Disclosure Statement and Form PTO-1449  
XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION  
XX Please direct all correspondence to Customer Number 23334.

Respectfully submitted,

BY:   
Jose Gutman  
Reg. No. 35,171

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17581  
093003  
U.S.PTO

## FEE TRANSMITTAL

Transmitted herewith for filing is the patent application of:

**INVENTOR(S):** John K. ALEX, Peter R. BADOVINATZ, Reinhard BUENDGEN, Chun-Shi CHANG,  
 Gregory D. LAIB, Rong-Sheng LEE, Jeffrey S. LUCASH, Thomas LUMPP, and  
 Juergen SCHNEIDER

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The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee
Total Claims	24		20	\$18 (\$9)	72
Independent Claims	5		3	\$84 (\$42)	168
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				<b>TOTAL FEE:</b>	<b>\$ 1,030</b>

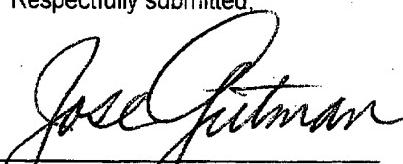
XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$1030. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

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